



COMPLAINTS HANDLING PROCEDURE

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In the event of a conflict between NFXMarkets Ltd and a Client, terms expressed in English and expressed in any other language, the terms expressed in English shall prevail over those expressed in any other language.

INTRODUCTION

NFXMarkets (hereinafter, the “**Company**” or “we” or “us”) is an Investment Firm operated globally by NFXMarkets Ltd, a company incorporated in Marshall Islands which is regulated by the laws of Marshall Islands.

The Complaint Handling Procedure (hereinafter, the “Procedure”) sets out the processes employed when dealing with complaints received from Clients.

A Client complaint is an expression of dissatisfaction by a Client regarding the provision of investment and/or ancillary services by the Company that did not resolve within 5 business days from receipt.

This Procedure is an adjunct to the Company’s overarching general obligation to act honestly, fairly and professionally and in the best interests of its Clients and to comply, in particular, with the principles set out in the above legislation when providing investment services and other ancillary services.

You hereby acknowledge and accept that in case you have a complaint/dispute against the Company in relation to your trading on Cryptocurrencies/CFDs, such complaint/dispute is not eligible and shall not be accepted for review/consideration by the Financial Ombudsman of the Republic of Cyprus.

PROCEDURE

A Client can file a complaint by contacting the Compliance Department of the Company at support@nfxmarkets.com, providing at a minimum the below listed information:

- the identity of the Client who filed the complaint or grievance
- the identity of the employee that undertook to provide the service to the Client
- the department to which the relevant employee relates to
- the date of receipt of the complaint or grievance
- the details of the complaint or grievance – full description
- the extent in financial terms of the potential loss that the Client claims has suffered
- the date and in summary, the content of the reply of the Company to the said complaint or grievance

Complaints communicated to the Company must be received from the registered email of the Client as soon as possible after the subject matter of the complaint arose.

RESOLVING CLIENT COMPLAINTS OR GRIEVANCES

Following the receipt of a complaint or a grievance, by the Company, the Head of the Compliance Department shall confirm to the Client the receipt of the complaint or a grievance and immediately make efforts to resolve the complaint or grievance within 5 working days from confirmation of its receipt.

Moreover, the Company shall provide to the Commission information of all complaints received and the way these are handled.

The Company that will further inform the client that an initial answer/response to the complaint at hand should be expected four (4) weeks since the receipt of the complaint and that the Company will ensure that the complaint or grievance is resolved within eight (8) weeks from its receipt. In the event that the CIF is unable to respond within two (2) months, the Company will inform the complainant of the reasons for the delay and indicate the period of time within it is possible to complete the investigation. This period will not exceed three (3) months from the submission of the complaint.

The Compliance Officer shall inform the Board as well as the legal advisor of the Company of all Client complaints or grievances brought to him, at least annually. All decisions relating to Clients' complaints or grievances shall be communicated to Clients in writing (including electronic mail) and copies shall be retained by the Administration/Back Office Department.

NEXT STEPS

When the Company reaches an outcome we will inform you of it together with an explanation of our position and any remedy measures we intend to take (if applicable).

It is understood that your right to take legal action remains unaffected by the existence or use of any complaint's procedures referred to above.

COMPLAINTS FORM

This is the form the Complainant needs to fill in in order to submit the complaint to **NFXMarkets Ltd** (the "Company"). Complete, up-to-date as well as accurate information is required to be provided to the Company for the proper investigation and evaluation of the complaint. The below Complaint Form is only indicative and not exhaustive. The Company may request further information and/or clarifications and/or evidence with regards to the complaint.

DATE:

CLIENT INFORMATION

Name:

Surname:

ID or Passport Number:

Country of nationality:

Legal Entity Name (in case the Client is a legal person):

Account Number:

CONTACT DETAILS OF THE CLIENT

Postal Address:

City/Province:

Code:

Country:

Telephone Number:

Email:

DETAILS OF THE COMPLAINT

Date when the Complaint was created:

Employee who offered the services to the Client (if applicable):

Description of the Complaint: (use a separate sheet if necessary)

I hereby certify and confirm that to the best of my knowledge, the information furnished above is true, accurate, correct and complete.

Signature

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For Official Use Only	
Received on:	Assigned to:
Received by:	Signature